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Paper No. 8

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FEB 08 2001

**OFFICE OF PETITIONS
A/C PATENTS**

In re Application of
Richard K. Ryan et al.
Application No. 09/606,495
Filed: June 29, 2000
For: Method for Managing a Financial
Security

:
:
: DECISION ACCORDING STATUS
: UNDER 37 CFR 1.47(a)
:

This is in response to the renewed "Petition Under 37 CFR 1.47(a)," filed December 11, 2000.

The petition is granted.


Petitioner has shown that the non-signing inventors have refused to join in the filing of the above-identified application.

The above-identified application and papers have been reviewed and found in compliance with 37 CFR 1.47(a). This application is hereby accorded Rule 1.47(a) status.

As provided in Rule 1.47(a), this Office will forward notice of this application's filing to the non-signing inventors at the address given in the Declaration. Notice of the filing of this application will also be published in the Official Gazette.

After the mailing of this decision, the file will be forwarded to Office of Initial Patent Examination for preexamination processing.

Telephone inquiries regarding this decision should be directed to Latrice Bond at (703) 308-6911.


Frances Hicks
Lead Petitions Examiner
Office of Petitions
Office of the Deputy Commissioner
for Patent Examination Policy



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Brandt T. Brock
6345 S. W. South Pointe Drive
Auburn, Kansas 66402

In re Application of
Richard K. Ryan, Brandt T. Brock, Gregory J. Garvin, Stephane Goyer, Brenda M. Harwood, Amy Jo Lee,
Mary E. Nestor and Karen S. Plush
Application No. 09/606,495
Filed: June 29, 2000
For: Method for Managing a Financial Security

Dear Mr. Brock:

You are named as a joint inventor in the above identified United States patent application, filed under the provisions of 35 U.S.C. 118 (United States Code), and 37 CFR 1.47(a), Rules Practice in Patent Cases. Should a patent be granted on the application you will designated therein as a joint inventor.

As a named inventor, you are entitled to inspect any paper in the file wrapper of the application, order copies of all or any patent thereof (at a prepaid cost per 37 CFR 1.19) or make your position or record in the application. Alternatively, you may arrange to do any of the preceding through a registered patent attorney or agent presenting written authorization from you. Joining in the application, counsel of record (see below) would presumably assist you. Joining in the application would entail the filing of an appropriate oath or declaration by you pursuant to 37 CFR 1.63.

Telephone inquiries regarding this communication should be directed to Latrice Bond at (703) 308-6911. Requests for information regarding your application should be directed to the File Information Unit at (703) 308-6911. Information regarding how to pay for and order a copy of the application, or a specific paper in the application, should be directed to Certification Division at (703) 308-9726 or 1 (800) 972-6382 (outside the Washington D.C. area).

Frances Hicks
Lead Petitions Examiner
Office of Petitions
Office of the Deputy Commissioner
for Patent Examination Policy

cc: Penny R. Slicer
Stinson Mag & Fizzell
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**OFFICE OF PETITIONS
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Richard K. Ryan
260 W. 113th Street
Carbondale, Kansas 66414

In re Application of
Richard K. Ryan, Brandt T. Brock, Gregory J. Garvin, Stephane Goyer, Brenda M. Harwood, Amy Jo Lee,
Mary E. Nestor and Karen S. Plush
Application No. 09/606,495
Filed: June 29, 2000
For: Method for Managing a Financial Security

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